



**ORDER OF ADJUDICATION OF PENALTY UNDER SECTION 454 OF THE COMPANIES ACT, 2013
READ WITH RULE 3 OF THE COMPANIES (ADJUDICATION OF PENALTIES) RULES, 2014 FOR
VIOLATION OF PROVISIONS OF SECTION 134(3)(q) OF THE COMPANIES, ACT, 2013 READ WITH
COMPANIES (ADJUDICATION OF PENALTIES) RULES 2014.**

IN THE MATTER OF SHUBHMANGAL INDIA NIDHI LIMITED

Appointment of Adjudicating Officer:-

1. The Ministry of Corporate Affairs vide its Gazette notification no A-42011/112/2014-Ad.II dated 24.3.2015, has appointed the undersigned as Adjudicating Officer in exercise of the powers conferred by section 454 of the Companies Act, 2013 (hereinafter known as Act) read with Companies (Adjudication of Penalties) Rules, 2014 & Companies (Amendment) Act, 2020 for adjudging penalties under the provisions of this Act.
2. The Company SHUBHMANGAL INDIA NIDHI LIMITED (U65990UP2016PLC082483), has been registered under the provisions of the Companies Act, on 01.05.2016, and is having its registered office situated at WARD NO.11, NEAR SANJAY NAGAR GT, Chandauli 232 104, Uttar Pradesh. The authorized capital of the Company is Rs. 1,500,000.00/-
3. On examination of form DIR-11 and DIR-12 it is found that Mr. Ranvir Pratap Singh has resigned from the directorship on 01.09.2018. Further the directors have failed to include the details of directors who resigned from the directorship during the Financial year 2018-19 in the board report for the Financial year 2018-19.
Hence it is non-compliance of section 134(3)(q) of the Companies Act, 2013 and are thus liable for penal action.

4. Provisions of the Act:-

Section 134(3) (q) of the Act reads as under: -

There shall be attached to statements laid before a company in general meeting, a report by its Board of Directors, which shall include—

(q) such other matters as may be prescribed.

Section 134(4) of the Companies Act, 2013 reads as under :-

(4) The report of the Board of Directors to be attached to the financial statement under this section shall, in case of a One Person Company, mean a report containing explanations or comments by the Board on every qualification, reservation or adverse remark or disclaimer made by the auditor in his report.

Section 134(8) of the Companies Act,2013 reads as under:-

If a company is in default in complying with the provisions of this section, the company shall be liable to a penalty of three lakh rupees and every officer of the company who is in default shall be liable to a penalty of fifty thousand rupees.

5. Accordingly, a Show Cause Notice No.07/20/Adj/2024/Shubhmangal/ 582 to 585 dated 25.04.2024 was issued to officer in default under section 134(3)(q) of the Companies Act, 2013.

6. The company and its directors have not furnished any reply to the said show cause notice; hence no hearing was fixed for this matter.

7. The company and its directors have failed to comply with the provisions of section 134(3)(q) of the Companies Act, 2013 thereby attracting the penal provisions mentioned under Section 134(8) of the Act.

8. Having considered the facts and circumstances of the case and after taking into account the factors above, I hereby impose a penalty as mentioned in the table on the company and the defaulting Directors i.e. under section 134(8) of the Companies Act, 2013 and Companies (Amendment) Act, 2020 for failure to make compliance of the section 134(3)(q) of the Companies Act,2013 . It is of this opinion that penalty is commensurate with the aforesaid failure committed by the Noticee.

Nature of default	Section of the Companies Act.	Name of the company/directors /defaulters	Penalty imposed details as per Section 134(8) of the Companies Act,2013
Non-Compliance Of Section 134(4) of The Companies Act, 2013	Section 134(8) of the Companies Act,2013	1. Shubhmangal India Nidhi Limited.	Rs. 3,00,000/-
		2. Mr. Udai Pratap Singh Director	Rs.50,000/-
		3. Mrs. Manu Devi, Director	Rs.50,000/-
		4. Mr. Anil Pandey Director	Rs.50,000/-
		Total Penalty	Rs. 4,50,000/-

9. The Noticee shall pay the amount of penalty by way of Demand Draft in favour of "Pay & Accounts Officer, Ministry of Corporate Affairs, New Delhi, payable at Delhi, within 90 days receipt of this order. The Demand Draft shall be forwarded to this office Address.

10. Appeal against this order may be filed in writing with the Regional Director (Northern Region), Ministry of Corporate Affairs, CGO Complex, Lodi Road, New Delhi, within a period of sixty days from the date of receipt of this order, in Form ADJ setting forth the grounds of appeal and shall be accompanied by a certified copy of this order. [Section 454(5) & 454(6) of the Act, read with Companies (Adjudication of Penalties) Rules, 2014].

11. Attention is also invited to section 454(8) of the Companies Act, 2013, in the event of non-compliance of this order. In Case appeal is made O/o Registrar of Companies, U.P. maybe informed alongwith the penalty imposed & the payments made.



(Seema Rath)

Registrar of Companies & Adjudicating Officer

Uttar Pradesh, Kanpur.

NO. 07/20/ADJ/2024/SHUBHMANGAL / 3635 to 3638 DATED 09-09-2024

To,

Shubhmangal India Nidhi Limited,
Ward No.11, Near Sanjay Nagar Gt,
Chandauli, Uttar Pradesh, 232104, India.

2. Mr. Udai Pratap Singh, Director
Ward No. 11, Sanjay Nagar, Nagar Panchayat,
Chandauli-232104, Uttar Pradesh.

3. Mrs. Manu Devi, Director
Lohia Nagar
Chandauli-232104, Uttar Pradesh.

4. Mr. Anil Pandey, Director
Gram Machwan, Amara,
Chandauli-232110, Uttar Pradesh.

5. Copy for Information to the Regional Director, Northern Region,
B-2 Wing, 2nd Floor, Pt. Deendayal Upadhyay Bhawan,
CGO Complex, Lodhi Road, New Delhi-110003.